



## CONTRACTORS AND CONSULTANTS APPLICATION ENVIRONMENTAL SERVICE PROVIDERS

PLEASE ANSWER ALL QUESTIONS COMPLETELY

**NOTICE:** For certain policies and coverage parts issued, the limit of liability available to pay judgments for settlements shall be reduced by amounts incurred for legal defense. Further note that amounts incurred for legal defense shall be applied against the deductible or retention amount.

**ALL APPLICANTS MUST SUBMIT THE FOLLOWING INFORMATION IN ADDITION TO THE APPLICATION:**

1. Completed Acord Application
2. Qualification including resumes, brochures, and a listing of previous projects.
3. Most recent income statement and balance sheet.
4. Five years of currently valued loss runs including pollution and professional, if applicable.

**I. APPLICANT INFORMATION**

<b>Insured:</b>			<b>Date:</b>
<b>Address:</b>			<b>E-Mail:</b>
<b>City:</b>	<b>State:</b>	<b>Zip Code:</b>	<b>Phone:</b>
<b>Company is:</b> <input type="checkbox"/> Individual <input type="checkbox"/> Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Joint Venture <input type="checkbox"/> Other _____ <span style="float: right;"><i>(please describe)</i></span>			

**II. REQUESTED COVERAGE**

<p>1. Coverage Requested: <i>(please clearly state what coverage(s) you are requesting)</i></p> <p><input type="checkbox"/> New Business   <input type="checkbox"/> Renewal</p> <p>.....</p> <p><input type="checkbox"/> Commercial General Liability   (<input type="checkbox"/> Occurrence, or   <input type="checkbox"/> Claims Made)</p> <p><input type="checkbox"/> Contractors Pollution Liability   (<input type="checkbox"/> Occurrence, or   <input type="checkbox"/> Claims Made)</p> <p><input type="checkbox"/> Errors and Omissions (Claims Made Only)</p> <p><input type="checkbox"/> Pollution Legal Liability (Claims Made Only)   <input type="checkbox"/> Third Party Pollution Liability</p> <p style="padding-left: 150px;"><input type="checkbox"/> On-Site Clean Up</p>	<p>2. Proposed Effective Date: _____</p> <p>Proposed Retroactive Date: _____</p> <p>Expiring Retroactive Date: _____</p> <p>3. Limits Of Liability/Deductible:</p> <p>Limits Requested: _____</p> <p>Deductible Requested: _____</p> <p>4. Other Coverages and Endorsements:</p> <p>_____</p>
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**III. GROSS RECEIPTS**

Please indicate gross receipts for the prior three years:

Prior Year Revenues (Past 12 Months)	Current Year Revenues (Current 12 Months)	Estimated Revenues (Upcoming 12 Months)
Indicate Month/Date below: _____ to _____	Indicate Month/Date below: _____ to _____	Indicate Month/Date below: _____ to _____

Note: Gross Receipts are the total of all receipts, invoices and/or billing without any deductions of any kind. Please list your estimated receipts **including subcontracted work** for the next 12 months next to the appropriate category. List services not described below under "Other" (please be specific):

<b>4. Environmental Contracting</b>		<b>6. Consulting/Laboratory</b>	
Above Ground Storage Tank Installation		Air Monitoring	
Above Ground Storage Tank Removal		Analytical Laboratories	
Asbestos Abatement		Civil Engineering	
Bio Remediation		Environmental Compliance	
Drilling (not oil/gas)		Environmental Impact Studies	
Emergency Response		Environmental Permitting	
Haz Mat Clean Up		Environmental Sampling	
Haz Mat Packing / Pickup		Expert Witness	
Lead Abatement		Geophysical (i.e. drilling, sampling, etc.)	
Liquid Waste Remediation		Geotechnical (i.e. foundation, retaining wall, slope stability, etc.)	
Mold Remediation		Haz Mat Consulting	
PCB Removal / Remediation		Hydrogeological Investigations	
Soil Removal / Remediation		Indoor Air Quality	
Soil Excavation – other than petroleum		Industrial Hygiene / HASP	
Tank &/or Pipe Cleaning		Litigation Support	
Underground Storage Tank Installation		Manual Preparation	
Underground Storage Tank Removal		Mold Evaluation / Consulting	
Wetlands Contracting		Phase I Environmental Assessments	
<b>5. Non-Environmental Contracting</b>		Phase II & III Environmental Assessments	
Carpentry		Project Management	
Demolition		Remedial Investigation / Studies	
Electrical		Remedial Design	
Fire / Water Restoration		Remediation Oversight	
General Contractor		Safety Training	
Grading Contractor		Underground Storage Tank Testing	
Industrial Cleaning		Wetlands	
Maintenance/Janitorial			
Masonry			
Mechanical Construction		<b>Other – Consulting / Laboratory</b>	
Metal Erection		Describe:	
Painting		Describe:	
Paving			
Pipeline Installation			
Plumbing			
Roofing			
Oil and Gas			
Street and Road			
<b>Other – Contracting</b>			
Describe:			
Describe:			
Describe:			
Describe:			
<b>Total Projected Contracting Gross Receipts:</b>	_____	<b>Total Projected Consulting/Laboratory Gross Receipts:</b>	_____

#### IV. SUBCONTRACTED SERVICES

1. Please identify the services that are subcontracted:

2. Applicable Cost:

Description: \_\_\_\_\_

\_\_\_\_\_

Description: \_\_\_\_\_

\_\_\_\_\_

Description: \_\_\_\_\_

\_\_\_\_\_

Description: \_\_\_\_\_

- |  |                              |                             |
|--|------------------------------|-----------------------------|
| 3. Are all subcontractors licensed and accredited?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4. Does the applicant collect certificates of insurance from all subcontractors?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 5. Are the subcontractors required to name the applicant as an additional insured?   | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6. Is a standard written contract used with the applicant's clients and/or subcontractors, including hold harmless and limitation of liability clause? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

#### V. GENERAL INFORMATION

1. Does the applicant directly or indirectly perform work on residential properties?  Yes  No  
**If yes**, what percentage of the applicant's overall sales are associated with this operation? \_\_\_\_\_%

2. Are more than 50% of the applicant's services subcontracted?  Yes  No

3. Is the applicant applying for project specific coverage?  Yes  No  
**If yes, please attach a copy of the contract for the project and project supplemental application.**

4. Does the applicant conduct more than 10% geotechnical or geophysical operations?  Yes  No  
**If yes**, what percentage of the applicant's overall sales are associated with this operation? \_\_\_\_\_%  
**Please submit the following:** A detailed list of the applicant's geotechnical and geophysical operations & detailed resumes of employees who conduct these operations.

5. Does the applicant install any type of liner, i.e. landfill, lagoons, etc.?  Yes  No  
**If yes**, what percentage of the applicant's overall sales are associated with this operation? \_\_\_\_\_%  
**Please submit the following:** Resumes and certifications of employees installing the liners, installation procedures & testing procedures for the installed liner.

6. Does the applicant conduct tank installation work?  Yes  No  
**If yes, please answer the following:**  
 a) What percentage of the applicant's overall sales are associated with this operation? \_\_\_\_\_%  
 b) Are the installed tanks precision tightness tested before being released to owner?  Yes  No  
 c) Does the applicant apply any type of corrosion protection?  Yes  No  
 d) Are tanks tested and certified by a registered professional before use?  Yes  No  
**Please submit the following:** Resumes and certifications of all tank installation employees, type of tanks applicant installs, type of corrosion protection applicant installs & installation procedures.

7. Are any of the applicant's revenues generated by contracting services performed in New York City?  Yes  No  
**If yes**, what percentage of the applicant's overall sales are associated with this operation? \_\_\_\_\_%

8. Does the applicant conduct any type of mold contracting or mold consulting work?  Yes  No  
**If yes**, please complete and attach a Supplemental Mold Contractors and Consultants Application.  
**If no**, but the applicant is interested in being considered for mold coverage for claims that may arise from the applicant's contracting operations, please complete and attach a Supplemental Mold Application.

9. Does the applicant conduct any Phase I or Real Estate Transfer Assessments?  Yes  No  
**If yes, please answer the following:**  
 a) What percentage of the applicants overall sales are associated with this operation: \_\_\_\_\_%  
 b) Does the applicant follow ASTM-1527 guidelines?  Yes  No  
**If no, please attach a sample contract of the applicant's format.**

10. Total personnel (List each person only once, by primary function):

a) Architects, Engineers, Geologists, Hydrogeologists \_\_\_\_\_

b) Industrial Hygienists, Toxicologists, CIHs or CSPs \_\_\_\_\_

c) Supervisors/Foremen/Leadmen \_\_\_\_\_

d) Draftsmen, Technicians \_\_\_\_\_

e) Laborers \_\_\_\_\_

f) AHERA, Hazwopers \_\_\_\_\_

g) Other (please specify primary function and count per primary function): \_\_\_\_\_

**VI. CLAIMS INFORMATION**

11. Has any claim, suit or notice of incident been made against the firm or any staff member?  Yes  No  
*If yes, please provide full details on each incident:*

12. Is the applicant aware of any circumstances, which may result in any claim, suit or notice of incident against him, the firm, his predecessors in business, any of the present or past partners or officers, or any staff member and/or has any claim, suit or notice of incident been made against the firm or any staff member?  Yes  No  
*If yes, please provide full details on each incident:*

**VII. HISTORY OF COMPANY**

1. Date Company Was Established: _____	5. Is the applicant a successor of any other business? If yes, please list predecessor in the area below. <input type="checkbox"/> Yes <input type="checkbox"/> No
2. Is the applicant, or any affiliated, related predecessor entity currently involved with sharing office space, use of employees or commingling of affiliated or related operations or services of any kind? If yes, please provide an explanation in the area below. <input type="checkbox"/> Yes <input type="checkbox"/> No	6. Has the applicant, or any affiliated, related predecessor entity or any officer or owner ever been convicted of a crime? If yes, please provide an explanation in the area below. <input type="checkbox"/> Yes <input type="checkbox"/> No
3. Is work done through or by any affiliated or related company(s)? If yes, please provide an explanation in the area below. <input type="checkbox"/> Yes <input type="checkbox"/> No	7. Has the applicant, or any affiliated, related predecessor entity ever been (or currently is) the subject of bankruptcy, reorganization, solvency, dissolution or other debtor related proceedings and/or has made assignment for the benefit of creditors? If yes, please provide an explanation in the area below. <input type="checkbox"/> Yes <input type="checkbox"/> No
4. Is the applicant, or any affiliated, related predecessor entity currently involved in any litigation, administrative or arbitration proceeding(s) or subject to any court or agency order or injunction? If yes, please provide an explanation in the area below. <input type="checkbox"/> Yes <input type="checkbox"/> No	

**8. Detailed explanation:**

**VII. PRIOR LIABILITY CARRIER INFORMATION (Past three years)**

Coverage Form	Carrier	Receipts	Limit of Liability	Deductible	Type of Policy	Rate	Premium
1.							
2.							
3.							

4. Has any policy or coverage been declined, cancelled and/or non-renewed during the prior three years?  
 Yes (If yes, please explain): \_\_\_\_\_  
 No

**FRAUD WARNING: APPLICABLE TO ALL STATES**

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**WARRANTY STATEMENT**

The signatory declares that (s)he is authorized by the Applicant to sign this application on behalf of all prospective **Insureds** and that to the best of his/her knowledge the statements herein are true. The signatory agrees that if the information supplied in this application and the materials submitted therewith should change between the date this application is signed and the effective date of the proposed insurance, the signatory shall immediately notify the **Insurer** of such and shall provide the **Insurer** with information that would complete, update or correct the application or materials submitted therewith. The **Insurer** may withdraw or modify any of the terms or conditions of coverage accordingly.

**NOTICE TO APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any false information, or conceals for the purpose of misleading, information concerning fact material thereto, commits a fraudulent insurance act, which is a crime.

**Signature:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Print Name:** \_\_\_\_\_

**Title:** \_\_\_\_\_