



- 1 Please complete and save this document to your local computer.
- 2 Completely answer all questions. The information requested is in addition to that which you provide in the appropriate ACORD application(s).
- 3 If you require more space, please either complete an ACORD 125 or attach separate pages showing the applicable question number you are responding to. Make sure your company name appears at the top.
- 4 This supplemental application must be signed and dated by an authorized representative of the applicant.
- 5 Please verify your information before signing and forwarding to your agent or broker.
- 6 Choose distribute from the Forms Panel in the Tool Pane on the right to send it to your recipients.
- Wholesale E&S Submission email address: submissions.wholesale.es@cfins.com



| Producer:                               |              |  |  |  |  |  |  |
|---|--------------|--|--|--|--|--|--|
|   | ☐ Wholesaler |  |  |  |  |  |  |
| Address:                                |              |  |  |  |  |  |  |
|   |              |  |  |  |  |  |  |
| Telephone:                              |              |  |  |  |  |  |  |
|   |              |  |  |  |  |  |  |
| Fax:Excess & Surplus Lines License No.: |              |  |  |  |  |  |  |
| Email:                                  |              |  |  |  |  |  |  |
|   |              |  |  |  |  |  |  |
| If Renewal, Provide Current Policy No.: |              |  |  |  |  |  |  |

## ROOFING CONTRACTOR SUPPLEMENTAL APPLICATION

Please answer all questions. If the answer to a question is Not Applicable, please use the phrase N/A.

| Applicant Name:  | _ |
|--|---|
| Business Address:  | _ |
| Length of time in business in the name of the applicant firm:  |   |
| Date established:  |   |
| If the answer to this question is less than three (3) years, please provide details of prior experience: | _ |
| States in which the applicant operates:  | _ |
| Expiring Insurance Company:  |   |
| Expiring Premium:  |   |

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| Exposure Basis  |                             |               |              | Projected      | 1 <sup>st</sup> Prior Year | 2 <sup>nd</sup> | Prior Year |  |
|---|-----------------------------|---------------|--------------|----------------|----------------------------|-----------------|------------|--|
| Total Annual Receipts:  |                             |               |              |                |                            |                 |            |  |
| Commercial Roofing Payroll  | ISO Class 98677             |               |              |                |                            |                 |            |  |
| Residential Roofing Payroll   | ISO Class 98678             |               |              |                |                            |                 |            |  |
| Sheet Metal Payroll   | ISO Class 9                 | 8884          |              |                |                            |                 |            |  |
| Cost of Subcontracted Work-In   | sured Subco                 | ntractors:    |              |                |                            |                 |            |  |
| Cost of Subcontracted Work-U  | ninsured Sub                | contractors   | <b>S</b> :   |                |                            |                 |            |  |
| Does Applicant obtain a standa  | ard written ag              | reement fro   | m all subo   | contractors?   | Yes 🗌                      | No 🗌            |            |  |
| Does each subcontractor hold  | the applicant               | harmless?     |              |                | Yes 🗌                      | No 🗌            |            |  |
| Does each subcontractor give  | the applicant               | an indemn     | ification ag | reement?       | Yes 🗌                      | No 🗌            |            |  |
| Does each subcontractor agree   | e to add the i              | nsured as a   | n Addition   | al Insured?    | Yes 🗌                      | No 🗌            |            |  |
| Does the applicant obtain certification   | ficates of insu             | urance from   | subcontra    | actors?        | Yes 🗌                      | No 🗌            |            |  |
| Does the applicant have a trac  | king system t               | or certificat | es of insu   | rance?         | Yes 🗌                      | No 🗌            |            |  |
| What is the minimum limit the a   | applicant acc               | epts on cer   | tificates of | insurance?     |                            |                 |            |  |
| Type of Ro  | ofing Work                  | Done (Per     | entage of    | Overall Work P | erformed):                 |                 |            |  |
| Residential:  | Residential: % Replacement: |               |              |                |                            |                 | %          |  |
| Commercial/Industrial:  |                             | %             | New Con      | struction:     |                            | %               |            |  |
| Must Equal 100%   |                             | 100 %         | Must Equ     | ual 100%       | 100                        |                 |            |  |
|   |                             |               |              |                |                            |                 |            |  |
| Any work done on buildings ov   |                             |               |              |                | Yes No No                  |                 |            |  |
| Maximum Height at which appl  |                             |               |              | Feet           |                            |                 |            |  |
| If the applicant has ever done New Construction work please advise if that work involved:   |                             |               |              |                |                            |                 |            |  |
| Condominium, Townhouse or Apartment Building Projects:  |                             |               |              |                | Yes 🗌                      | No 🗌            |            |  |
| Single Family Home Tract Housing Projects Yes   |                             |               |              | Yes 🔝          | No 🗌                       |                 |            |  |
| Heat Application Work   |                             |               |              |                |                            |                 |            |  |
| Hot Tar Application   | %                           |               |              |                |                            |                 | %          |  |
| Built-up Roof   |                             |               |              |                |                            | <u>%</u>        |            |  |
| Does insured apply torch down systems to combustible walls and decks? Yes No  |                             |               |              |                |                            |                 |            |  |
| Describe the procedure utilized by the applicant to inspect a heat application job-site prior to leaving the site for an extended period of time: |                             |               |              |                |                            |                 |            |  |
| Equipment   |                             |               |              |                |                            |                 |            |  |
| Does the applicant use cranes or booms?   |                             |               |              |                | Yes 🗌                      | No 🗌            |            |  |
| Does the applicant own this equipment?  |                             |               |              |                | Yes 🗌                      | No              |            |  |

| Is equipment rented or leased without operator?  Yes  |   |                  |         |        |         |           |                   |           | No 🗌 |    |       |  |
|---|---|------------------|---------|--------|---------|-----------|-------------------|-----------|------|----|-------|--|
| Is equipment rented or leased with operator?  |   |                  |         |        |         | Yes       |                   |           | No 🗌 |    |       |  |
| Does the applicant lease or otherwise provide equipment to others?  |   |                  |         |        |         |           |                   | No 🗌      |      |    |       |  |
| What is the length of cranes or booms?  |   |                  |         |        |         |           |                   |           | Feet |    |       |  |
| Has the applicant experienced any claim, incident or circumstance regarding Yes cranes or booms during the past five years?                         |   |                  |         |        |         |           |                   |           | No 🗌 |    |       |  |
| Does the applicant use scaffolding?   |   |                  |         |        |         |           |                   |           | No 🗌 |    |       |  |
| Is scaffolding  | used  | owned by the app | licant? |        |         |           |                   | Yes       |      |    | No 🗌  |  |
| If rented from others does applicant do so under a rental contract?  Yes  |   |                  |         |        |         |           |                   |           | No 🗌 |    |       |  |
| Inclement Weather Procedures  |   |                  |         |        |         |           |                   |           |      |    |       |  |
| Describe trie   | Describe the procedure utilized by applicant to determine the possibility of the onset of inclement weather:  |                  |         |        |         |           |                   |           |      |    |       |  |
| Describe the procedure utilized by applicant to protect an open roof when leaving a job site for an extended period of time:                        |   |                  |         |        |         |           |                   |           |      |    |       |  |
| Does insured hire tear off companies when doing re-roofing?  Yes  |   |                  |         |        |         | Ш         | □ No □            |           |      |    |       |  |
|   |   |                  | C       | Claims | History | <u>'</u>  |                   |           |      |    |       |  |
| Year  | Paid Losses Reserves Incurred Claim Co.   |                  |         |        |         |           | unt Value Date    |           | ate  |    |       |  |
| 1 <sup>st</sup> Prior   |   |                  |         |        |         |           |                   |           |      |    |       |  |
| 2 <sup>nd</sup> Prior   |   |                  |         |        |         |           |                   |           |      |    |       |  |
|   | 3 <sup>rd</sup> Prior   |                  |         |        |         |           |                   |           |      |    |       |  |
| 4 <sup>th</sup> Prior   |   |                  |         |        |         |           |                   |           |      |    |       |  |
| 5 <sup>th</sup> Prior   |   |                  |         |        |         |           |                   |           |      |    |       |  |
| Losses great  | er thar   | n \$10,000       |         |        |         |           |                   |           |      |    |       |  |
| Date of   | Тур   | e/Description of | Date of | Am     | ount    | Amount    |                   |           |      |    |       |  |
| Occurrence  | Occi  | urrence or Claim | Claim   | Pa     | aid     | Reserved  | rved Claim Status |           |      |    |       |  |
|   |   |                  |         |        |         |           | Оре               | en        |      | C  | losed |  |
|   |   |                  |         |        | Open    |           |                   | en        | ı Ck |    | losed |  |
|   |   |                  |         |        |         |           | Оре               | en        |      | CI | losed |  |
| Has any claim or lawsuit ever been filed against the applicant or any partnership or Yes No joint venture of which the applicant has been a member? |   |                  |         |        |         |           |                   |           |      |    |       |  |
| Has any claim or lawsuit ever been filed against the applicant's predecessors in business?  |   |                  |         |        |         | Yes No No |                   |           |      |    |       |  |
| Has any claim or lawsuit ever been filed against any person, company or entity on whose behalf the applicant has assumed liability?                 |   |                  |         |        |         |           | y on              | Yes No No |      |    |       |  |
| Is the applica  | Is the applicant aware of any circumstance, incident or accusation arising out of roofing operations performed by the applicant which may give rise to a claim? |                  |         |        |         |           |                   |           |      |    |       |  |

State Notices: The following notices are required by the Insurance Department of the indicated states.

Warning: ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES. (Not applicable in AL, AR, CO, DC, FL, KS, KY, LA, MD, ME, NJ, NM, NY, OH, OK, OR, RI, TN, VA, VT, WA or WV – see Additional Fraud Notices for these States below).

## **ADDITIONAL FRAUD NOTICES**

NOTICE TO ALABAMA, ARKANSAS, LOUISIANA, NEW MEXICO, RHODE ISLAND AND WEST VIRGINIA APPLICANTS: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO COLORADO APPLICANTS:** It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

**NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: WARNING:** It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits if false information materially related to a claim was provided by the applicant.

**NOTICE TO FLORIDA APPLICANTS:** Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

**NOTICE TO KANSAS APPLICANTS:** Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepares with knowledge or belief that it will be presented to or by an insurer, purported insurer, broker or any agent thereof, any written, electronic, electronic impulse, facsimile, magnetic, oral, or telephonic communication or statement as part of, or in support of, an application for the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a claim for payment or other benefit pursuant to an insurance policy for commercial or personal insurance which such person knows to contain materially false information concerning any fact material thereto; or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act.

**NOTICE TO KENTUCKY APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

**NOTICE TO MAINE APPLICANTS:** It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties may include imprisonment, fines or denial of insurance benefits.

**NOTICE TO MARYLAND APPLICANTS:** Any person who knowingly or willfully presents a false or fraudulent claim for payment of a loss or benefit or who knowingly or willfully presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

**NOTICE TO NEW JERSEY APPLICANTS:** Any person who includes any false or misleading information on an application for an insurance policy is subject to criminal and civil penalties.

**NOTICE TO NEW YORK APPLICANTS:** Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

**NOTICE TO OHIO APPLICANTS:** Any person who, with intent to defraud or knowing that he is facilitating a fraud against an insurer, submits an application or files a claim containing a false or deceptive statement is guilty of insurance fraud.

**NOTICE TO OKLAHOMA APPLICANTS: WARNING:** Any person who knowingly, and with intent to injure, defraud or deceive any insurer, makes any claim for the proceeds of an insurance policy containing any false, incomplete or misleading information is guilty of a felony.

**NOTICE TO OREGON APPLICANTS:** Any person who knowingly and with intent to defraud or solicit another to defraud the insurer by submitting an application containing a false statement as to any material fact may be violating state law.

**NOTICE TO TENNESSEE, VIRGINIA AND WASHINGTON APPLICANTS:** It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines, and denial of insurance benefits.

**NOTICE TO VERMONT APPLICANTS:** Any person who knowingly presents a false statement in an application for insurance may be quilty of a criminal offense and subject to penalties under state law.

The undersigned declares that to the best of his or her knowledge and belief the statements and representations made herein and in any attachments appended hereto and/or incorporated herein by reference are true and complete and that no material facts have been misstated, misrepresented, suppressed or concealed. The signing of this application does not bind the undersigned to purchase insurance, nor does review of the application bind any insurer to issue a policy. It is agreed, however, that this application shall be the basis of the contract should a policy be issued. If there is any material change in the answers to the questions provided herein or in any of the attachments appended hereto and/or incorporated herein by reference prior to the effective date of the insurance policy, the applicant must immediately notify the insurer in writing and the insurer reserves the right in such instance to modify or withdraw any quotation or binder that may have been issued. The undersigned also represents that he or she is authorized on behalf of the applicant to complete and sign this application on its behalf.

| Applicant Name (Printed)              | Applicant Title     |                |  |  |  |  |  |
|---------------------------------------|---------------------|----------------|--|--|--|--|--|
| Applicant Signature*                  | Date                |                |  |  |  |  |  |
| * ELECTRONIC SIGNATURE AND ACCEPTANCE |                     |                |  |  |  |  |  |
| PRODUCER INFORMATION:                 |                     |                |  |  |  |  |  |
| Producer Name (Printed)               | Producer Signature* |                |  |  |  |  |  |
| Agency Name                           | Agency Code         | License Number |  |  |  |  |  |

## \* ELECTRONIC SIGNATURE AND ACCEPTANCE

\* You can apply your signature to this form electronically by checking the Electronic Signature And Acceptance box below your signature line and by then either applying your electronic signature to this form or by typing your name above your signature line on this form. By doing so, you hereby consent and agree that your use of a key pad, mouse, keyboard or other device to accomplish the foregoing constitutes your signature, acceptance, and agreement as if actually signed by you in writing and has the same force and effect as a signature affixed by hand. Further, you agree that the lack of a certification authority or other third party verification will not in any way affect the validity or enforceability of your signature or any resulting contract.

(11/2017)